

ATTACHMENTS

1. Reissue Specification
2. Preliminary Amendment
3. Reissue Supplement Notice of Missing Parts of Application
4. Postcard Receipt
5. Consent Of The Assignee
6. Assignee's Statement Under 37 CFR 3.73(B)
7. Reissue Application Declaration By The Inventors
8. Reissue Application Transmittal



Application Number:

Attachment to Paper No.:

**REISSUE SUPPLEMENT
NOTICE TO FILE MISSING PARTS OF APPLICATION**

This Reissue Supplement is an attachment to:

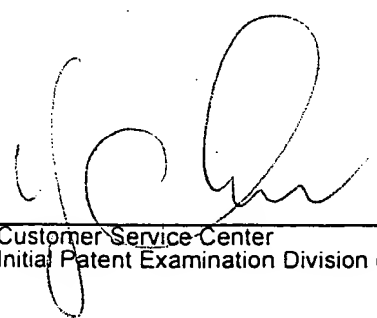
"Notice to File Missing Parts of Application" Filing Date Granted

"Notice of Incomplete Application" No filing Date Granted

The item(s) indicated below as missing must be filed within the period for reply set on the attached form to avoid abandonment.

Correction of the following is required to complete the reissue application:

1. The reissue specification has not been provided in double-column format as is required by 37 CFR 1.173(a)(1).
2. Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees.
3. Consent of the assignee is present, but is unsigned. A statement of consent bearing the signature of an official authorized to act on behalf of the assignee(s) must be provided.
4. Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.72 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b). See MPEP Sec. 324.
5. Assignee's statement under 37 CFR 3.73(b) is present, but is unsigned. A 37 CFR 3.73(b) statement bearing the signature of an official authorized to act on behalf of the assignee must be provided.



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PATENT
Serial No: 6 041 031
Applicant: ICHIMURA et al.
Title: OPTICAL DISC RECORDING...
The following was/were received in the U.S. Patent & Trademark Office Mail Room on the date stamped hereon:

PATENT DATE
Filed: MARCH 21 2000 Attorney RPL/SLT/mint

Docket No.: 506-12-25 Date Mailed:

☐ First Class Mail ☐ Express Mail No.



1. ☐ Filing Form for Application*
(in duplicate if charging deposit account).

☐ Originating in the U.S.
☐ National Phase under Chapter I
☐ National Phase under Chapter II

2. ☒ Filing Form for Application*
(in duplicate if charging deposit account).

☐ Provisional Application under 37 CFR 1.53(c)
☐ Regular Application under 37 CFR 1.53(b)
☐ CPA Application under 37 CFR 1.53(d)
☐ Continuation Application under 37 CFR 1.53(b)
☐ Divisional Application under 37 CFR 1.53(b)
☒ Other REISSUE

3. ☒ *Patent Application including:

☒ 6 Pages Specification
☒ 5 Pages Claims (Claims 1 through 8)
☒ 1 Pages Abstract
☒ 5 Sheets of Drawings (Fig 1 through 6c)

4. ☒ Declaration/Power of Attorney (____ pages) (REISSUE)

5. ☐ Verified Stmt-Small Entity Status

6. ☐ Executed Power of Attorney

7. ☐ Priority Document(s) & cover sheet

8. ☐ Assignment(s) & cover sheet

9. ☐ Request to Approve Drawing Change,
with ____ Sheets of Red-Line Drawings

10. ☐ Sheets Formal Drwgs w/Cover Sheet

11. ☐ Request for Refund

12. ☐ Request for Corrected Filing Receipt

13. ☐ Completion of Filing Requirements or
Response to Notice of Informal Application

14. ☐ Transmittal for Amendment (Response)

15. ☐ Petition for ____ Mo. Extension of Time
(in duplicate if charging deposit account)

16. ☐ Amendment (Response)

17. ☐ Preliminary Amendment (Response)

18. ☐ Response to Restriction Requirements or
Requirements for Election of Species

19. ☐ Information Disclosure Statement, with
Form(s) PTO-1449 (____ sheets) and
copies of ____ references

20. ☐ Affidavit or ____ Declaration under
37 CFR ____ §1.131 ____ §1.132

21. ☐ Notice of Appeal

22. ☐ Petition

23. ☐ Letter

24. ☐ Status Inquiry

25. ☐ Issue Fee Transmittal

26. ☐ Maintenance Fee Transmittal

27. ☒ 2 Separate post card(s)

28. ☐ Check No. ____ for \$ ____

29. ☐ Covering Item No(s).

Other: REISSUE PAT TRANS STATEMENT OF
STATUS CONSENT OF ASSIGNEE
STATEMENT UNDER 37 CFR 3.73(b)
DA. 710

PATENT
Serial No: 6 041 031
Applicant: ICHIMURA et al.
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Other: REISSUE PAT. TRANS STATEMENT OF
STATUS CONSENT OF ASSIGNEE
STATEMENT UNDER 37 CFR 3.73(b)
DA. 710



SON-1212/RE

REISSUE APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Reissue Application for)
)
U.S. Patent No. 6,041,031 issued March 21, 2000)
)
Inventors: ISAO ICHIMURA ET AL.)
)
Reissue No. (Unassigned))
)
Title: OPTICAL DISC RECORDING/)
REPRODUCTION APPARATUS AND)
METHOD)

Attn: Applications Branch

REISSUE PATENT APPLICATION TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
Box NEW REISSUE APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith is an Application for Reissue of a Utility Patent, including the following application elements and parts:



Fee Transmittal Form (PTO/SB/56), and a duplicate copy thereof for fee processing.



Specification and Claims.



Drawings. A copy of the patent drawings are enclosed. Applicant requests that the original drawings be transferred from U.S. Patent No. 6,041,031, Serial No. 08/994,455, for use in this reissue application.

Docket No. SON-1212/RE
Serial No. (Unassigned)

REISSUE APPLICATION



Reissue Application Declaration by the Inventors.



Consent of Assignee and Offer to Surrender Patent



Statement Under 37 CFR 3.73(b).



Statement of Status/Support For All Changes to the Claims Under 37 CFR 1.121.

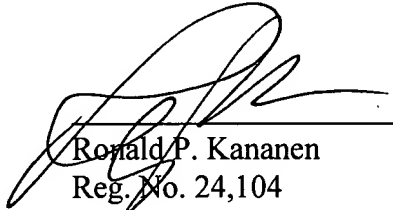


Applicants' undersigned attorney may be reached by telephone in his Washington D.C.
Office at:

(202) 955-3750.

All correspondence should be directed to the undersigned at the address listed below.

Date: December 1, 2000



Ronald P. Kananen
Reg. No. 24,104

RADER, FISHMAN & GRAUER P.L.L.C.
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Suite 501
Washington, D.C. 20036
Fax No. (202) 955-3751

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



PTO/SB/56 (08-00)

Approved for use through 12/30/2000. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional) SON-1212RE		
Claims as Filed - Part 1								
Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity Rate Fee		Other than a Small Entity Rate Fee		
(A) 11 (C) 3	Total Claims (37 CFR 1.16(j)) Independent claims (37 CFR 1.16(i))	(B) 11 (D) 3	**** 0 = * 0 =	x \$ _____ = x \$ _____ =		or	x \$ _____ = x \$ _____ = \$ 710 \$ 710	
Basic Fee (37 CFR 1.16(h)) \$ _____								
Total Filing Fee \$ _____								
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity Rate Fee		Other than a Small Entity Rate Fee	
Total Claims (37 CFR 1.16(j))	***	MINUS	**	* =	x \$ _____ =		x \$ _____ =	
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$ _____ =		x \$ _____ =	
Total Additional Fee \$ _____							OR	\$ _____
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input checked="" type="checkbox"/> Please charge Deposit Account No. <u>18-0013</u> in the amount of <u>710</u>. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. <u>18-0013</u>. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> A check in the amount of \$ _____ to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p style="text-align: center;">WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"><div style="text-align: center;"><u>12/01/00</u> Date</div><div style="text-align: center;"> _____ Signature of Applicant, Attorney or Agent of Record <u>Ronald P. Kananen (Reg. 24,104)</u> Typed or printed name</div></div>								

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/726,436	12/01/2000	2651	710	SON-1212/RE	5	11	3

Ronald P Kananen Esq
Rader Fishman & Grauer PLLC
1233 20th Street NW
Suite 501
Washington, DC 20036



FILING RECEIPT



OC00000005706294

RECEIVED

FEB 22 2001

Date Mailed: 01/25/2001

OFFICE OF PETITIONS

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Isao Ichimura, Kanagawa, JAPAN;
Fumisada Maeda, Tokyo, JAPAN;
Kenji Yamamoto, Tokyo, JAPAN;
Kiyoshi Ohsato, Chiba, JAPAN;
Toshio Watanbe, Kanagawa, JAPAN;
Akira Suzuki, Kanagawa, JAPAN;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A REI OF 08/994,455 12/19/1997 PAT 6,041,031

Foreign Applications

JAPAN P08-340904 12/20/1996

If Required, Foreign Filing License Granted 01/25/2001

Title

Optical disc recording/reproduction apparatus and method

Preliminary Class

369

Data entry by : CHADWICK, YOLANDA

Team : OIPE

Date: 01/25/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

RECEIVED

FEB 22 2001

OFFICE OF PETITIONS**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to: